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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

John William Tocher

Title

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
 2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
 3. ☐ Specification [Total Pages (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets - 5. Oath or Declaration [Total Pages - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information.

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name

John William Tocher

Address

18072 Beneta Way

City

Tustin

State

California

Zip Code

92780

Country

USA

Telephone

(714) 544-8836

Fax

Name (Print/Type)

John William Tocher

Registration No. (Attorney/Agent)

Signature

John Tocher

Date

Sept 25/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 355.00

Complete if Known

Application Number

Filing Date

First Named Inventor

JOHN WILLIAM TOCHER

Examiner Name

Group Art Unit

Attorney Docket No.

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.

Deposit
Account
Number

Deposit
Account
Name

- ☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☐ Check ☐ Credit card ☒ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity
Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$355.00)

2. EXTRA CLAIM FEES

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 ** Reissue independent claims over original patent

110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 355.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Code	Small Entity (\$)	Fee Description	Fee Paid
105	130	205	65		Surcharge - late filing fee or oath	<input checked="" type="checkbox"/>
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	<input checked="" type="checkbox"/>
139	130	139	130		Non-English specification	<input checked="" type="checkbox"/>
147	2,520	147	2,520		For filing a request for ex parte reexamination	<input checked="" type="checkbox"/>
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	<input checked="" type="checkbox"/>
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	<input checked="" type="checkbox"/>
115	110	215	55		Extension for reply within first month	<input checked="" type="checkbox"/>
116	390	216	195		Extension for reply within second month	<input checked="" type="checkbox"/>
117	890	217	445		Extension for reply within third month	<input checked="" type="checkbox"/>
118	1,390	218	695		Extension for reply within fourth month	<input checked="" type="checkbox"/>
128	1,890	228	945		Extension for reply within fifth month	<input checked="" type="checkbox"/>
119	310	219	155		Notice of Appeal	<input checked="" type="checkbox"/>
120	310	220	155		Filing a brief in support of an appeal	<input checked="" type="checkbox"/>
121	270	221	135		Request for oral hearing	<input checked="" type="checkbox"/>
138	1,510	138	1,510		Petition to institute a public use proceeding	<input checked="" type="checkbox"/>
140	110	240	55		Petition to revive - unavoidable	<input checked="" type="checkbox"/>
141	1,240	241	620		Petition to revive - unintentional	<input checked="" type="checkbox"/>
142	1,240	242	620		Utility issue fee (or reissue)	<input checked="" type="checkbox"/>
143	440	243	220		Design issue fee	<input checked="" type="checkbox"/>
144	600	244	300		Plant issue fee	<input checked="" type="checkbox"/>
122	130	122	130		Petitions to the Commissioner	<input checked="" type="checkbox"/>
123	50	123	50		Processing fee under 37 CFR 1.17(q)	<input checked="" type="checkbox"/>
126	180	126	180		Submission of Information Disclosure Stmt	<input checked="" type="checkbox"/>
581	40	581	40		Recording each patent assignment per property (times number of properties)	<input checked="" type="checkbox"/>
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	<input checked="" type="checkbox"/>
149	710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))	<input checked="" type="checkbox"/>
179	710	279	355		Request for Continued Examination (RCE)	<input checked="" type="checkbox"/>
169	900	169	900		Request for expedited examination of a design application	<input checked="" type="checkbox"/>
Other fee (specify) _____						<input checked="" type="checkbox"/>

*Reduced by Basic Filing Fee Paid


SUBTOTAL (3) (\$) 355.00

SUBMITTED BY

Name (Print/Type)	JOHN WILLIAM TOCHER	Registration No. (Attorney/Agent)		Complete (if applicable)	
Signature	<i>John Tocher</i>			Telephone	(714) 544-8836
				Date	Sept. 25/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number		
	Filing Date		
	First Named Inventor	John William Tocher	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Ultimate Vest Inc.
Signature	
Date	Sept 25/01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>Sept 25/01</u>	
Typed or printed name	John William Tocher
Signature	<u>John Tocher</u>
Date	Sept 25/01

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